



12-03-03

Attorney Docket No. 2051/US  
Express Mail Label No. EV 410 060 322 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Thomas G. Faludy et al.

Appln. No. 10/643,444

Filed: 18 August 2003

For: RETRACTABLE AWNING WITH LIGHT  
AND SOUND FEATURES

Confirmation No.: 4412

Group Art Unit: 3634

Examiner: Not Yet Assigned

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

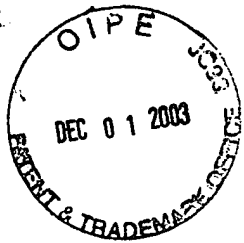
1. Information Disclosure Statement Under 37 C.F.R. § 1.97(b)(3) and § 1.98;
2. Information Disclosure Statement by Applicant (Form PTO-1449);
3. Certificate of Mailing by Express Mail; and
4. Return Card,

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV 410 060 322 US with the United States Postal Service, addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 1 day of December 2003.

Date: 12/1/2003

Jane Lalis  
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Jane Lalis  
Signature of Mailer  
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USPTO Customer No. 20686



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INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. 1.97(b)(3) and 1.98

Sir:

The Information Disclosure Statement submitted herewith is being filed before the mailing date of a first Office action on the merits.

The Examiner is requested to consider the references noted on the enclosed Form PTO-1449 during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to 37 C.F.R. § 1.98(a)(2)(i), copies of the references are not provided herewith.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fees are due with respect to this filing. However, if any fees are deemed necessary, such fees may be charged to Deposit Account No. 04-1415.

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If the examiner has any questions, please contact the undersigned attorney.

Dated: Nov. 30, 2003.

Respectfully submitted,



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Enclosures

cc: Carefree/Scott Fetzer Company  
IP Docketing-DV



<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> (Modified) <b>PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DOCKET NO.</b> 2051/US	<b>APPLN. NO.</b> 10/643,444
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>APPLICANT:</b> Thomas G. Faludy et al.	
	<b>FILING DATE</b> 18 August 2003	<b>GROUP</b> 3634

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,148,849	09/1992	Faludy	160	67	
		5,934,349	08/1999	Faller	160	127	

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.